

Staged Effects and Handlers for Modular Languages with Abstraction

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Abstract

This short paper aims to use modular effects to define modular languages with lambda abstraction. We argue that existing approaches to algebraic effects and handlers are not suitable for this challenge. Instead, we propose a new approach that we dub *staged effects and handlers*. We show how to use our approach to define lambda abstraction in a modular way, and discuss open questions.

CCS Concepts: • **Software and its engineering** → *Functional languages*.

Keywords: effect handlers, effects, monads, modularity, semantics

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1 Introduction

This paper considers how to define languages *modularly* in terms of a *compositional* denotation function:

$$\llbracket _ \rrbracket : \text{Expr} \rightarrow M V$$

Here, Expr is the type of abstract syntax trees, M is a monad, and V is a value type. By *modular* we mean that one can (i) add new constructors to Expr , and (ii) add new *operations* to M without modifying existing code. By *compositional* we mean that $\llbracket _ \rrbracket$ defines the semantics of a complex expression in terms of the semantics of its *recursive sub-trees*. Compositionality is attractive because it gives a semantics that is nice to reason about [22], enables reuse of $\llbracket _ \rrbracket$ for different M (for example, we could use $\llbracket _ \rrbracket$ to define either a *static* or *dynamic* semantics of Expr), and provides a path to the first dimension of modularity (adding constructors to Expr).

Existing work on *data types à la carte* [13, 25] addresses the first dimension of modularity. *Algebraic effect handlers* [18] are a flexible and popular framework that can be used to address the second dimension. However, we cannot readily define some classes of effects modularly using effect handlers. Wu et al. [28] observe:

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One aspect of handlers that has not received much attention are scoping constructs. Examples of this are abound: we see it in constructions for control flow, such as while loops and conditionals, but we also see this in pruning non-deterministic computations, exception handling, and multi-threading.

Another aspect of handlers that has not received much attention are *staging constructs*. Examples of interesting staging constructs and applications are abundant in the literature on programming languages (and PEPM in particular) [2, 11, 20, 23, 26, 29]. We focus on one kind of staging construct, namely *lambda abstraction*. An expression $\lambda x. e$ *stages* (postpones) the evaluation of e , and function application *unstages* it. It is hard to fit this kind of staging in existing frameworks for effects and handlers [17, 18, 28]. In particular, it is difficult to implement these operations using effect handlers:

```
abstr : Name → M Val → M Val
apply : Val → Val → M Val
```

We address this challenge by proposing a new kind of effect handler: *staged effect handlers*. We use Agda¹ as our meta language, assuming a passing familiarity with dependent types, but do not assume in-depth knowledge of Agda. The techniques we describe could also be defined in a functional language without dependent types, such as Haskell or Scala. Our motivation for using Agda is a desire to eventually implement modular and *intrinsically-typed* language definitions. For this paper, however, we only consider simply-typed definitions. An artifact that implements the code we show in the paper is available online:

<https://github.com/casvdrest/staged-effects.agda>

Although there has been work on implementing effect handlers using staging [21, 27, 30], we are, to the best of our knowledge, the first to consider how to define staging using effect handlers.

The paper is structured as follows. § 2 defines three modular language fragments that we use as running examples. Next, § 3 defines “plain” effects and handlers [18] for one of the modular fragments. Then § 4 shows that *scoped effects and handlers* [17, 28] provide expressiveness, but they are

¹<https://agda.readthedocs.io/>

insufficient for defining *staging constructs* (lambda abstraction and application). Finally, in § 5 we propose our notion of *staged effects* and handlers that lets us define both staging and scoping constructs. § 6 concludes.

2 Compositional Semantics for Languages with lambda abstraction and State

Our goal is to implement a modular and compositional semantics for the following object language:

$$\text{Expr} \ni e ::= \text{var } x \mid \text{abs } x e \mid \text{app } e e \mid \text{let } x = e \text{ in } e \\ \mid \text{get} \mid \text{put } e \mid \text{nat } n$$

where $x \in \text{Name}$ ranges over names and $n \in \mathbb{N}$ ranges over natural numbers. The semantics we consider is given by a function $\llbracket _ \rrbracket : \text{Expr} \rightarrow M V$, where M is an instance of the following families of monads:

```
record LambdaM (M : Set → Set) (V : Set) : Set where
  field fetch  : Name → M V
        abstr  : Name → M V → M V
        apply  : V → V → M V
        letbind : Name → V → M V → M V

record StateM (M : Set → Set) (S : Set) : Set where
  field get : M S
        put : S → M T

record NatM (M : Set → Set) (V : Set) : Set where
  field nat : ℕ → M V
```

It may seem overly general to have `letbind` as its own operation, rather than desugaring it into `abstr` and `apply`. However, while this paper focuses on the problem of using effect handlers to define an interpreter for the language above, we have a broader goal in mind: we want to write denotation functions $\llbracket _ \rrbracket : \text{Expr} \rightarrow M V$ that use effect handlers to modularly define diverse semantic artifacts such as *modular compilers* [5], *modular abstract interpreters* [3, 12, 19], *modular symbolic executors* [15], and other semantic artifacts. If $M V$ defines a *static semantics*, the semantics of lambda and let binding may differ (as in Hindley-Milner-Damas polymorphism [4, 10, 16]), and desugaring would be wrong.

In the remainder of this section we show how to define $\llbracket _ \rrbracket$ in a way that lets us extend `Expr` with new constructors without modifying existing code by using *data types à la carte* [25] (DTC). The rest of this paper considers the challenge of extending M with new operations without modifying existing code.

2.1 Modular Syntax

A prerequisite for using DTC to define $\llbracket _ \rrbracket$ in a modular way, is that `Expr` is defined in a modular way. We define a modular data type for `Expr` by using *containers* [1], following Keuchel and Schrijvers [13]. A container consists of a *shape*, S , and position, P :

```
record Con : Set, where
  constructor _ ▷ _
  field S : Set
        P : S → Set
```

The shape describes the set of available *constructors*, and the position maps each constructor to its corresponding *arity* (i.e., the set of recursive sub-trees). For example, the following container encodes an expression type for the state fragment of our object language ($e ::= \text{get} \mid \text{put } e$):²

$$\text{StateExpr} = \text{Bool} \triangleright \lambda \{ \text{false} \rightarrow \perp; \text{true} \rightarrow T \}$$

Here we use a type with two inhabitants (one for `put`, one for `get`) as the shape: `Bool`. Each inhabitant (`false` and `true`) is associated with a position: the `false` case corresponds to `get` which has no recursive sub-trees, so the set of recursive positions is given by the empty type \perp . On the other hand, `put` has a single recursive argument, so the position for `true` is associated with the unit type T .

We relate container-encoded expressions to Agda types by defining their *semantics* of type $\text{Set} \rightarrow \text{Set}$:

$$\llbracket _ \rrbracket^c : \text{Con} \rightarrow \text{Set} \rightarrow \text{Set} \\ \llbracket S \triangleright P \rrbracket^c X = \exists \lambda (s : S) \rightarrow P s \rightarrow X$$

A container is interpreted as a pair of a constructor $s : S$ and a function $P s \rightarrow X$ that maps each recursive position of s to an Agda value of type X . To interpret data types with recursive positions we need to take their least fixed-point:

```
data μ (C : Con) : Set where
  ⟨ ⟩ : [ C ]c (μ C) → μ C
```

Using this fixed-point, expressions in the state fragment are typed by $\mu \text{StateExpr}$.

Containers have a well-defined notion of *union*:³

$$_ \cup _ : \text{Con} \rightarrow \text{Con} \rightarrow \text{Con} \\ (S_1 \triangleright P_1) \cup (S_2 \triangleright P_2) = \\ S_1 \uplus S_2 \triangleright \lambda \{ (\text{inj}_1 x) \rightarrow P_1 x; (\text{inj}_2 y) \rightarrow P_2 y \}$$

Using this union, we can modularly compose `StateExpr` with the container description of `nat` expressions ($e ::= \text{nat } n$):

$$\text{NatExpr} = \mathbb{N} \triangleright \text{const } \perp$$

Here, \mathbb{N} is the shape (there are as many `nat` expressions as there are naturals) and `const` \perp says there are no recursive sub-trees. The state+nat fragment of our object language ($e ::= \text{get} \mid \text{put } e \mid \text{nat } n$) is thus given by $\text{StateExpr} \cup \text{NatExpr}$. By similarly encoding the lambda fragment (`LamExpr`) we can compose `Expr` from modular syntax fragments:

$$\text{Expr} \simeq \mu (\text{LamExpr} \cup \text{StateExpr} \cup \text{NatExpr})$$

² $\lambda \{ _ \}$ is Agda syntax for a pattern matching lambda; \perp is the empty type; and T is the unit type.

³ $X \uplus Y$ is the type of a disjoint sum in Agda, whose constructors are $\text{inj}_1 : X \rightarrow X \uplus Y$ and $\text{inj}_2 : Y \rightarrow X \uplus Y$.

2.2 Modular Semantic Functions

We encode semantic functions for container-encoded expression types as *algebras*, given by the following type alias:

$$C \Rightarrow A \triangleq \llbracket C \rrbracket^c A \rightarrow A$$

By *folding* an algebra $C \Rightarrow A$ over a data type μC we can turn recursive sub-trees into values A :

$$\begin{aligned} \text{fold}^c &: (C \Rightarrow A) \rightarrow \mu C \rightarrow A \\ \text{fold}^c f \langle s, p \rangle &= f(s, \text{fold}^c f \circ p) \end{aligned}$$

This use of folds necessitates a *compositional* semantics: by *definition*, algebras encode structurally-recursive (i.e., compositional) functions. The following algebra defines the semantics of StateExpr expressions:⁴

$$\begin{aligned} \text{algState} &: \{\!| \text{StateM } M \ V \}\!| \rightarrow \text{StateExpr} \Rightarrow M \ V \\ \text{algState} (\text{inj}_1 \text{ tt}, _) &= \text{get} \\ \text{algState} (\text{inj}_2 \text{ tt}, p) &= \text{do } v \leftarrow p \text{ tt}; \text{put } v; \text{return } v \end{aligned}$$

Algebras ranging over two different containers C_1 and C_2 can be combined into an algebra ranging over $C_1 \cup C_2$ using a function (whose implementation we elide for brevity):

$$_ \odot _ : (C_1 \Rightarrow A) \rightarrow (C_2 \Rightarrow A) \rightarrow C_1 \cup C_2 \Rightarrow A$$

Using algebra composition and our monad families, we can compose algebras:

$$\begin{aligned} \text{alg} &: \{\!| \text{LambdaM } M \ V \}\!| \rightarrow \\ &\quad \{\!| \text{NatM } M \ V \}\!| \rightarrow \\ &\quad \{\!| \text{StateM } M \ V \}\!| \rightarrow \text{Expr} \Rightarrow M \ V \\ \text{alg} &= \text{algLam} \odot \text{algNat} \odot \text{algState} \end{aligned}$$

to obtain a denotation function $\llbracket _ \rrbracket \approx \text{fold}^c \text{alg}$.

We have shown how to modularly define expression types and their semantics, by modularly mapping expressions onto a monad families. We have left open the question of how instances of these monad families are defined. Indeed, if we use “standard” monads, we may need to modify the implementation of each monad family when we add new effects. Both monad transformers [14] and the slightly more structured algebraic effects and handlers afford more flexibility. In the rest of this paper we consider how to use algebraic effects and handlers to define the monad family instances for alg in a way that does not require modifying existing code.

3 Effects and Handlers

We illustrate how to define algebraic effects and handlers in Agda as a *free monad*. The idea is to represent computations as trees of possible sequences of effectful operations. Following Hancock and Setzer [9], the type of such trees (I/O trees) is $\text{IO } \sigma \ A$ where $\sigma : \text{Con}$ is a *signature* of operations given by a container. Signatures can be freely composed using the $_ \cup _ : \text{Con} \rightarrow \text{Con} \rightarrow \text{Con}$ function from § 2. I/O trees are given by the following data type:

⁴Arguments enclosed in double curly braces (i.e., $\{\!| _ \}\!|$) are automatically filled in by Agda using instance resolution.

data $\text{IO } (\sigma : \text{Con}) : \text{Set} \rightarrow \text{Set}$ **where**

$$\begin{aligned} \text{end} &: A \rightarrow \text{IO } \sigma \ A \\ \text{cmd} &: (c : S \ \sigma) \rightarrow (P \ \sigma \ c \rightarrow \text{IO } \sigma \ A) \rightarrow \text{IO } \sigma \ A \end{aligned}$$

The constructor end represents a “pure” computation. The cmd constructor represents an effectful operation whose constructor is given by $c : S \ \sigma$, and whose continuation is parameterized by the *return type* $P \ \sigma \ c$ of the operation. IO trees are monadic with the end constructor as the return of the monad, and with the following notion of bind :

$$\begin{aligned} _ \gg _ &: \text{IO } \sigma \ A \rightarrow (A \rightarrow \text{IO } \sigma \ B) \rightarrow \text{IO } \sigma \ B \\ \text{end } x \gg k &= k \ x \\ \text{cmd } c \ p \gg k &= \text{cmd } c \ (\lambda x \rightarrow p \ x \gg k) \end{aligned}$$

A signature for two stateful operations, ‘get and ‘put, is given below:⁵

data $\text{StateOp } (H : \text{Set}) : \text{Set}$ **where**

$$\begin{aligned} \text{'get} &: \text{StateOp } H \\ \text{'put} &: H \rightarrow \text{StateOp } H \end{aligned}$$

$\text{StateSig} : \text{Set} \rightarrow \text{Con}$

$$S (\text{StateSig } H) = \text{StateOp } H$$

$$P (\text{StateSig } H) \text{'get} = H$$

$$P (\text{StateSig } H) \text{'put } h = \top$$

Trees with ‘get and ‘put operations are an instance of the StateM record from § 2 by using a generic lift function, defined in terms of a signature subtyping judgment ($_ \ll _$) (we elide the implementations of lift and $_ \ll _$ for brevity):

$$\text{lift} : (\sigma_1 \ll \sigma_2) \rightarrow (c : S \ \sigma_1) \rightarrow \text{IO } \sigma_2 (P \ \sigma_1 \ c)$$

$$\text{StateInst} : (\text{StateSig } H \ll \sigma) \rightarrow \text{StateM } (\text{IO } \sigma) \ H$$

$$\text{get } (\text{StateInst } w) = \text{lift } w \ \text{'get}$$

$$\text{put } (\text{StateInst } w) \ h = \text{lift } w \ (\text{'put } h)$$

The following effect handler for state operations handles state effects in a manner that is agnostic to what other effects a IO tree may contain:⁶

$$\text{hSt} : H \rightarrow \text{IO } (\text{StateSig } H \cup \sigma) \ A \rightarrow \text{IO } \sigma \ A$$

$$\text{hSt } _ (\text{end } x) = \text{end } x$$

$$\text{hSt } h (\text{cmd } (\text{inj}_1 \ \text{'get}) \ k) = \text{hSt } h (k \ \text{tt})$$

$$\text{hSt } _ (\text{cmd } (\text{inj}_1 \ (\text{'put } h)) \ k) = \text{hSt } h (k \ \text{tt})$$

$$\text{hSt } h (\text{cmd } (\text{inj}_2 \ y) \ k) = \text{cmd } y (\text{hSt } h \circ k)$$

It is equally straightforward to define a handler for the nat operation of the NatM family. We might try to define a handler for the operations in LambdaM as well. However, the monadic arguments of the letbind and lambda operations pose a challenge: the IO type only admits branching over possible continuations. In the term $\text{letbind } x \ v \ m$, the sub-term m is a *scoped computation* and not a continuation in the sense that IO supports. In the next section we show how *scoped effects and handlers* [17, 28] let us implement

⁵The StateSig function uses co-patterns to define the values of the fields in the returned Signature. For example, The line $S (\text{StateSig } H) = \dots$ defines the S field of the record StateSig H.

⁶It would have been equally possible to define this handler in terms of a generic fold over IO trees; a so-called deep handler.

the letbind operation, but argue that both plain and scoped effects and handlers are insufficient for handling lambdas.

4 Scoped Effects and Handlers

The IO trees from the previous section do not support *scoping constructs*. This makes it challenging to define LambdaM's letbind operation in a modular manner. In this section we illustrate how this shortcoming is addressed by *scoped effects and handlers*, due to Wu et al. [28] and Piróg et al. [17]. The encoding of trees with scoped effects shown in this section is equivalent to that of Piróg et al. [17].

4.1 Trees With Scoped Effects

Trees with scoped effects are given by the type $\text{Prog } \sigma \gamma A$ where $\sigma : \text{Con}$ is the signature for “plain” operations (like the ones in § 3), and $\gamma : \text{Con}$ is the signature of scoping constructs. Trees of operations and scope constructs are given by the following Prog data type:

```

data Prog ( $\sigma \gamma : \text{Con}$ ) ( $A : \text{Set}$ ) : Set, where
  var   :  $A \rightarrow \text{Prog } \sigma \gamma A$ 
  op    :  $(c : S \sigma) \rightarrow (P \sigma c \rightarrow \text{Prog } \sigma \gamma A) \rightarrow$ 
          $\text{Prog } \sigma \gamma A$ 
  scope :  $(g : S \gamma) \rightarrow (P \gamma g \rightarrow \text{Prog } \sigma \gamma B) \rightarrow$ 
          $(B \rightarrow \text{Prog } \sigma \gamma A) \rightarrow \text{Prog } \sigma \gamma A$ 

```

The var and op constructors correspond to the end and cmd constructors of IO (§ 3). The scope constructor represents an occurrence of a scoping construct with a set of scopes ($P \gamma g \rightarrow \text{Prog } \sigma \gamma B$) and a continuation ($B \rightarrow \text{Prog } \sigma \gamma A$).

Programs are monadic, with the var constructor as the return of the monad, and with the following notion of bind:

```

_  $\gg$  _ :  $\text{Prog } \sigma \gamma A \rightarrow (A \rightarrow \text{Prog } \sigma \gamma B) \rightarrow \text{Prog } \sigma \gamma B$ 
var x    $\gg$  g = g x
op c k   $\gg$  g = op c  $(\lambda x \rightarrow k x \gg g)$ 
scope s sc k  $\gg$  g = scope s sc  $(\lambda x \rightarrow k x \gg g)$ 

```

4.2 Effect Weaving

A key difference between plain effect handlers and scoped effect handlers is that scoped effect handlers *weave* effects through *both* continuations *and* scopes, as illustrated in the last case of the following handler for ‘get and ‘put:

```

hSt' :  $H \rightarrow \text{Prog } (\text{StateSig } H \cup \sigma) \gamma A \rightarrow \text{Prog } \sigma \gamma (A \times S)$ 
hSt' h (var x) = var (x, h)
hSt' h (op (inj1 'get) k) = hSt' h (k h)
hSt' _ (op (inj1 ('put h)) k) = hSt' h (k tt)
hSt' h (op (inj2  $\gamma$ ) k) = op  $\gamma$  (hSt' h  $\circ$  k)
hSt' h (scope g sc k) =
  scope g (hSt' h  $\circ$  sc)  $(\lambda \{ (x, h') \rightarrow hSt' h' (k x) \})$ 

```

Note that hSt' coincides with hSt when γ is empty.

4.3 Defining and Handling Let Binding

The scope constructor lets us define effects for variable lookups and let bindings modularly, by means of the following signature definitions:

```

data FetchOp : Set where
  'fetch :  $\text{Name} \rightarrow \text{FetchOp}$ 
FetchSig :  $\text{Set} \rightarrow \text{Con}$ 
S (FetchSig V) = FetchOp
P (FetchSig V) ('fetch x) = V
data LetScope ( $V : \text{Set}$ ) : Set where
  'letbind :  $\text{Name} \rightarrow V \rightarrow \text{LetScope } V$ 
LetSig :  $\text{Set} \rightarrow \text{Con}$ 
S (LetSig V) = LetScope V
P (LetSig V) ('letbind n v) =  $\top$ 

```

By modeling letbind as a *scoped effect*, we can handle let binding and variable fetching using the following handler for FetchSig and LetScope:⁷

```

Env :  $\text{Set} \rightarrow \text{Set}$ 
Env V = List (Name  $\times$  V)
hLet :  $\text{Env } V \rightarrow$ 
       $\text{Prog } (\text{FetchSig } V \cup \sigma) (\text{LetSig } V \cup \gamma) A \rightarrow$ 
       $\text{Prog } \sigma \gamma (\text{Maybe } A)$ 
hLet _ (var x) = var (just x)
hLet E (op (inj1 ('fetch x)) k) =
  maybe (hLet E  $\circ$  k) (var nothing) (lookup E x)
hLet E (op (inj2 c) k) = op c (hLet E  $\circ$  k)
hLet E (scope (inj1 ('letbind n v)) sc k) =
  hLet ((n, v) :: E) (sc tt)  $\gg$ 
  maybe (hLet E  $\circ$  k) (var nothing)
hLet E (scope (inj2 g) sc k) =
  scope g (hLet E  $\circ$  sc) (maybe (hLet E  $\circ$  k) (var nothing))

```

4.4 The Challenges With Handling Lambda

We could try to define a handler for lambda in a similar manner as hLet. Since a lambda scopes the effects that are stored in the body of the function, our only choice is to define lambda as a scoping construct; i.e.:

```

data LamScope : Set where
  'lambda :  $\text{Name} \rightarrow \text{LamScope}$ 
LamSig :  $\text{Set} \rightarrow \text{Con}$ 
S (LamSig V) = LamScope
P (LamSig V) ('lambda n) = V

```

However, it is not obvious how to define a handler for this scoping construct that behaves as we would expect lambdas to behave. Consider the following handler function with a hole ($\{\!\!\}$) in it:

```

hLam :  $\text{Env } V \rightarrow$ 
       $\text{Prog } (\text{FetchSig } V \cup \sigma) (\text{LamSig } V \cup \gamma) A \rightarrow$ 
       $\text{Prog } \sigma \gamma (\text{Maybe } A)$ 

```

⁷tt is the unit value and maybe is the eliminator of the Maybe type.

```

441 -- ...
442 hLam E (scope (inj, ('lambda n)) sc k) = hLam E (k {!!})
443 hLam E (scope (inj, g) sc k) =
444   scope g (hLam E ◦ sc) (maybe (hLam E ◦ k) (var nothing))
445

```

There are two problems here. The first problem is that in the application $k \{!!\}$, the hole must be filled with a value of some generic type B . However, the continuation k is *supposed* to accept a lambda (closure) value. The root of the issue is that the scope constructor says scopes have a *polymorphic return type*. This polymorphism is essential for weaving effect handlers through scopes, but here it gets in the way.

The second problem is that, by defining lambdas as a scoping construct, *effect handlers will always be applied under lambdas*. For example, the last case of hSt' from § 4.2 causes stateful operations under lambdas to be evaluated *before the function is applied*. For example, consider this program which we would expect to yield 42:

```

459 prog = do n0 ← nat 0; put n0
460         closr ← lambda x get
461         n42 ← nat 42; put n42
462         apply closr n0
463

```

If we apply the state handler hSt' above before we apply $hLam$ then we will eagerly evaluate the `get` under the lambda, causing the operation to be replaced by the value 0, giving the wrong result: 0 instead of 42!

5 Staged Effects and Handlers

We show how to overcome both the first and the second problem summarized above, by introducing a new type, `Tree`, based on two ideas. Firstly, instead of requiring scoped computations to always have a *polymorphic type*, the signatures of staged operations fix the return types of scoped computations. (This addresses the first problem we identified above.) Secondly, we parameterize scoped computations and continuations by a notion of *latent effects*. (This addresses the second problem we identified above.)

5.1 Trees With Staged Effects

Trees with staged effects are given by the type $\text{Tree } L \zeta A$, where $L : \text{Set} \rightarrow \text{Set}$ is a functor representing the set of *latent effects* of nodes in the tree, and $\zeta : \text{Sig}$ is the signature of operations with staging. ζ signatures are comprised of a pair of a regular signature $\sigma : \text{Con}$, similar to I/O trees, and a σ -dependent signature $\xi : S \sigma \rightarrow \text{Con}$ which says what the parameter- and return-types are of staged effect scopes. For convenience, the following `Sig` type combines dependent σ, ξ pairs in a single record type:

```

492 record Sig : Set, where
493   field S1 : Set;      P1 : S1 → Set
494         S2 : S1 → Set; P2 : ∀ {s1} → S2 s1 → Set
495

```

Signatures of operations with staging have a straightforward notion of `sum_⊕_` and `subtyping_⊑_` (whose implementations we elide for brevity), analogous to regular signatures.

Trees with staged effects are given by the following type:

```

500 data Tree (L : Set → Set) (ζ : Sig) (A : Set) : Set, where
501   leaf  : A → Tree L ζ A
502   node  : (c : S1 ζ) → L T →
503           ((s2 : S2 ζ c) → L T → Tree L ζ (L (P2 ζ s2))) →
504           (L (P1 ζ c) → Tree L ζ A) → Tree L ζ A
505

```

The arguments of a node (`node c | st k`) are: (i) a constructor c ; (ii) latent effects l ; (iii) staged effect scopes $st : (s_2 : S_2 \zeta c) \rightarrow L T \rightarrow \text{Tree } L \zeta (L (P_2 \zeta s_2))$; and (iv) a continuation expecting a response wrapped in a latent effect context $(L (P_1 \zeta c))$. The latent effects l are a main difference between the `Tree` type and the `Prog` type from the previous section: each node in a `Tree` “remembers” which effects other effect handlers have propagated past the node, effectively *staging* these effects. For example, after applying a state handler, each node in the tree “remembers” which store it should be evaluated under. By parameterizing staged effect scopes by an effect context $L T$, we can weave handlers through scopes in a way that these handlers are evaluated relative to some “future” effect context. In § 5.3 we illustrate how this lets us propagate handlers for state under lambdas in a way that state operations inside lambda bodies are handled relative to a future store.

Unlike the `Prog` type from the previous section, the `Tree` type above does not have separate constructors for “plain” or “scoped” operations. We conjecture that “plain” and “scoped” operations can be defined as special cases of nodes in a `Tree`.

Trees are monadic, with the leaf constructor as the return of the monad, and with the following notion of `bind`:

```

529 _ >>= _ : Tree L ζ A → (A → Tree L ζ B) → Tree L ζ B
530 leaf x   >>= g = g x
531 node z | st k >>= g = node z | st (λ x → k x >>= g)
532

```

5.2 Effect Staging

Below is the handler for state defined in terms of `Tree`:⁸

```

536 hSt' : { RawFunctor L } →
537       H → Tree L (StateSig H ⊕ ζ) A →
538       Tree ((H ×_) ◦ L) ζ (H × A)
539 hSt' h (leaf x) = leaf (h, x)
540 hSt' h (node (inj, 'get) l _ k) = hSt' h (k (const h <$> l))
541 hSt' _ (node (inj, ('put h)) l _ k) = hSt' h (k l)
542 hSt' h (node (inj, c) l st k) =
543   node c (h, l)
544     (λ {z (h', l')} → hSt' h' (st z l'))
545     (λ {(h', lr)} → hSt' h' (k lr))
546

```

⁸`RawFunctor L` says that L is a functor, and `<$>` is the map function of the functor instance. Note that `StateSig H : Sig` is a straightforward adaptation of the `StateSig H : Con` from § 3.

The ‘get case now enacts the latent effects l of their nodes by injecting the response value into the latent effect context $l : L \top$. The ‘put case also enacts the latent effects by the application of k to l . The last case of hSt weaves the hSt handlers through nodes other than $StateSig$ node, by wrapping the latent effects l in the state functor $(H \times _)$, staging the passing of the “current” store h (or perhaps an extension thereof) to the staged effect scope st and continuation k .

5.3 Defining and Handling Let Binding and Lambda

The $Tree$ type lets us define the syntax and handling of the operations in ΛM in a modular manner. We use the following record type to assert the existence of introduction and elimination functions for closures:

```
record ClosureVal (V : Set) : Set where
  field close : Name → FunLabel → Env V → V
        isClos : V → Maybe (Name × FunLabel × Env V)
```

Here, $FunLabel$ is a pointer into a “resumption store” comprising the (latently effectful) code of function bodies:

```
Resumptions : (Set → Set) → Sig → Set → Set
Resumptions L σ V =
  List (L ⊤ → Tree L (LamOpSig V ⊕ σ) (L V))
```

The motivation for representing closures and storing them in a store in this way is *modularity*: by using labels to denote function bodies, our notion of value makes no assumptions about what latent effects are in the $Trees$ of function bodies. Only the handler $hLam'$ below needs to know the actual type of function bodies. The handler uses $try\ m\ f = \text{maybe } f \text{ (leaf nothing) } m$ for mapping an $f : A \rightarrow Tree\ L\ \zeta\ (\text{Maybe } B)$ over an $m : \text{Maybe } A$, and is parameterized by: (i) an environment $Env\ V$ (for variable binding); (ii) a resumption store (for allocating and dereferencing function values); and (iii) a “fuel” counter [24]. The fuel counter is for ensuring that $hLam'$ terminates (by bottoming out and returning nothing) for diverging functions.

```
hLam' : { ClosureVal V } → { RawFunctor L } →
  Env V → Resumptions L ζ V → ℕ →
  Tree L (LamOpSig V ⊕ ζ) A →
  Tree (Maybe ∘ (Resumptions L ζ V × \_) ∘ L)
  ζ (Maybe (Resumptions L ζ V × A))
-- elided: leaf and case for out-of-fuel exception
hLam' E funs (suc m) (node (inj1 ('app v1 v2)) l _ k) =
  try (isClos v1) λ { (n , f , E') →
  try (retrieve funs f) (λ r →
  hLam' ((n , v2) :: E') funs m (r l) ≫
  flip try (λ { (funs' , lv) →
  hLam' E funs' m (k lv) }) }
hLam' E funs (suc m) (node (inj1 ('fetch n)) l _ k) =
  try (lookup E n) (λ v →
  hLam' E funs m (k (const v <$> l)))
hLam' E funs (suc m) (node (inj1 ('abs n)) l st k) =
  hLam' E (funs ++ [ st tt ]) m
```

```
(k (const (close n (length funs) E) <$> l)) 606
hLam' E funs (suc m) (node (inj1 ('letbind n v)) l st k) = 607
  hLam' ((n , v) :: E) funs m (st tt l) ≫ 608
  flip try λ { (funs' , lv) → hLam' E funs' m (k lv) } 609
hLam' E funs (suc m) (node (inj2 c) l st k) = 610
  node c (just (funs , l)) 611
  (λ r → flip try (λ { (funs' , l') → 612
  hLam' E funs' m (st r l') }) 613
  (flip try λ { (funs' , lr) → hLam' E funs' m (k lr) }) 614
```

The ‘abs case passes a closure value to k and (importantly!) *does not* apply the staged effect scope st to the latent effects yet. The ‘app case first unpacks the closure (via $isClos$), retrieves the function body in the resumption store, and then applies the function body to the *latent effects l for the application node*. The case for `letbind` illustrates how a scoped effect is a special case of a staged effect.

6 Discussion and Conclusion

The previous section has shown that the $Tree$ data type can be used to define operations in ΛM , $StateM$, and $NatM$, and handle their effects in a modular way—we can add more operations without modifying their code. Below we discuss open questions about $Tree$.

Is Tree a free monad? The IO type of Hancock and Setzer [9] and the $Prog$ type of Piróg et al. [17], Wu et al. [28] are free monads. We expect that the $Tree$ type is too, by a similar line of reasoning as that of Piróg et al. [17, §4.1].

Recursion schemes. The IO and $Prog$ types admit notions of fold that factor out recursion. We expect that it is possible to define a similar fold for $Tree$, and that we could use this to define handlers for state and let binding. However, the handler for lambdas has non-standard recursion, and would require reformulation to (possibly) define in terms of a fold.

Staging beyond lambdas. In future work we will explore how to use staged effects and handlers to define the semantics of more interesting staging constructs, such as the staging abstractions found in MetaML [26] and related staging frameworks [2, 20, 23].

Laws of LambdaM. Monadic operations are typically governed by laws that characterize their properties. These laws enable formal reasoning about the operations independent of their handler [8] and at the same time constrains handler implementations. As we plan to integrate our staged effects in the 3MT framework [6] in order to use ΛM and other staging constructs in modular mechanized meta-theory proofs, we will have to devise laws for them.

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